PTO/SB/31 (07-09) Approved for use through 07/31/2012. OMB 0651-0031

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1	NOTICE OF APPEAL FROM THE EXAMINER TO	Docket Number (Optional)			
THE BOARD OF PATENT APPEALS AND INTERFERE			18526		
			In re Application of CHAU, Paul W.		
**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450** [37 CFR 1.8(a)]		Application Number 09/574,697		Filed 5/17/2000	
		For Integrated Circuit Card Interface Device with Multiple Modes of Operation			
Signature		Art Unit		Examiner	
Typed or printed name		2194		NGUYEN, Van H.	
Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.					
The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))			\$_540.00		
Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:  \$\frac{270.00}{2}\$					
	A check in the amount of the fee is enclosed.				
·	Payment by credit card. Form PTO-2038 is attached.				
☐ The Director has already been authorized to charge fees in this application to a Deposit Account.					
	✓ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 19-2090				
	A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.				
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
I am the					
lπ	applicant/inventor.	/Robe	/Robert J. Rose 47037/		
Ι	assignee of record of the entire interest.	Poho	Signature Robert J. Rose		
-	See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	Typed or printed name			
☑	attorney or agent of record. 47,037		626-796-4000		
	registration number	Telephone number			
	attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34.	November 10, 2009			
Date					
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.					

This collection of information is required by 37 CFF.41.31. The information is required to obtain or retain a benefit by the public which is to fise (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 123 and 37 CFF.11.11.14 and 41.61. This collection is estimated to like 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the around of time you require to complete this form and/or susgessions for reducing this burden, should be sent to the finemation Officer. U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, V.A. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'C commissioner for Patents, P.O. & SA 1450, Alexandria, V.A. 22313-1450.

\*Total of 1

forms are submitted.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S. C. (2b)(2); (2), furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement neodutations.
- A fecord in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- A record in this system of records may be disclosed, as a routine use, to another federal
  agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to
  the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S. C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.